

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/022,668	12/18/2001	Rex Eugene Murray	2001U013.US

CONFIRMATION NO. 2310

25959  
UNIVATION TECHNOLOGIES LLC  
5555 SAN FELIPE SUITE 1950  
HOUSTON, TX 77056

## FORMALITIES LETTER



\*OC000000007537487\*

Date Mailed: 02/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

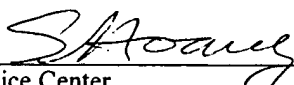
*Filing Date Granted*

COPY OF PAPERS  
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/19/2002 SFELEKE1 00000047 500589 10022668

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/022,668
Filing Date	December 18, 2001
First Named Inventor	Rex Eugen Murray
Group Art Unit	1754
Examiner Name	Unassigned
Attorney Docket Number	2001U013.US

Total Number of Pages in This Submission 5

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ORIGINALLY FILED

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Preliminary Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Documents)
- ☒ Response to Missing Part/ Incomplete Application
  - ☒ Response to Missing Parts
  - ☒ Declaration

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):  
Return Postcard

## REMARKS

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

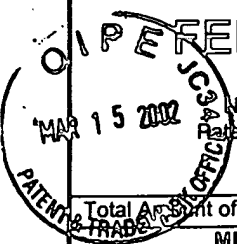
Firm Or Individual name	Lisa Kimes Jones	Registration No.	41,878
Signature			
Date	March 8, 2002		

## CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts P.O. Box 2327, Arlington, VA 22202 on this date:

March 8, 2002

Typed or printed name	Tammy L. Hodges		
Signature		Date	March 8, 2002



# OFFICE FEE TRANSMITTAL

Note: Effective November 10, 1998.  
Patent fees are subject to annual revision.

Complete if Known	
Application Number	10/022,668
Filing Date	December 18, 2001
Inventors	Rex Eugene Murray
Group Art Unit	1754
Examiner Name	Unassigned
Attorney Docket Number	2001U013.US

COPY OF PATENT  
ORIGINALLY FILED

Total Amount of Payment	(\$)	130.00
METHOD OF PAYMENT (check one)		

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:
- Deposit Account Number: 50-0589
- Deposit Account Name: Univation Technologies, LLC
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION			
1. FILING FEE			
Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101	740	Utility filing fee	
106	330	Design filing fee	
107	510	Plant filing fee	
108	740	Reissue filing fee	
114	160	Provisional filing fee	
SUBTOTAL (1)			.00

2. CLAIMS	Extra	Fee from below	Fee Paid
Total Claims <u>    </u> - 20 = <u>    </u> x 18 = <u>    </u> .00			
Independent Claims <u>    </u> - 3 = <u>    </u> x 84 = <u>    </u> .00			
Multiple Dependent Claims <u>    </u> 0 x 280.00 = <u>    </u> .00			
Large Entity			
Fee Code	Fee \$	Fee Description	
103	18	Claims in excess of 20	
102	84	Independent claims in excess of 3	
104	280	Multiple dependent claim	
109	84	Reissue independent claims over original patent	
110	18	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			.00

3. ADDITIONAL FEES			
Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	130.00
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,280	Petition to revive - unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
148	110	Statutory disclaimer	
Other fee (specify) * Reduced by Basic Filing Fee Paid			130.00
SUBTOTAL (3)			

Typed or Printed Name	Lisa Kimes Jones	Reg. No.	41,878
Signature		Date	March 8, 2002
		Deposit Account User ID	